



Attorney Docket No.: YOR920000318US1

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Patent Application**

Applicant(s): J.L. Hellerstein et al.  
Docket No.: YOR920000318US1  
Serial No.: 09/755,786  
Filing Date: January 5, 2001  
Group: 2122  
Examiner: Unassigned

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as priority mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature: *Judith A. Ryan* Date: May 21, 2001

Title: Systems and Methods for Service and  
Role-Based Software Distribution

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants' attorney wishes to bring to the attention of the Patent and Trademark Office the following documents listed on the accompanying Form PTO-1449. A copy of each listed document is enclosed.

U.S. Patents

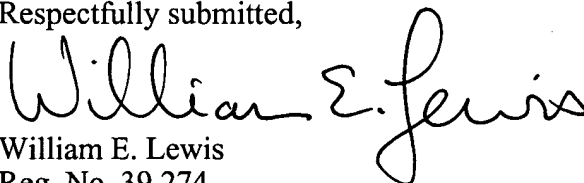
U.S. Patent No. 5,953,533 issued on 9/14/99 to Fink et al.

U.S. Patent No. 5,999,740 issued on 12/7/99 to Rowley.

It is believed that there is no fee due in conjunction with the filing of this Information Disclosure Statement. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **International Business Machines Corporation Deposit Account No. 50-0510** as required to correct the error.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability, or as a representation that no other material information exists.

Respectfully submitted,

A handwritten signature in black ink that reads "William E. Lewis". The signature is fluid and cursive, with the first and last names being more prominent than the middle initial.

William E. Lewis  
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